Modified Single-Phase Adaptive Transfer Delay Based Phase-Locked Loop with DC Offset Compensation

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Abstract: The phase-locked loops (PLL) represent a major component in different types of gridconnected power conversion applications. The main function of the single-phase PLLs represents the input quadrature signal generation (QSG), which can be performed by various algorithms. In this paper, the modified adaptive transfer delay single-phase PLL (ATD-PLL), which includes the input signal DC offset compensation, is used for the synchronization with the single-phase input signal, and for the positive sequence component separation and synchronization in a case of a three-phase application. This represents and improvement regarding existing ATD-PLL solutions, which do not include DC offset compensation and nonsymmetric component separation. The small-signal ATD-PLL dynamic analysis is performed, accompanied by a corresponding PLL parameter tuning procedure. The novel ATD-PLL performance is verified by simulation and experimental tests, proving its effectiveness, accuracy, and improved dynamic performance.

Keywords: Frequency and phase estimation, phase-locked loop, transfer delay, quadrature signal generation, dc offset compensation

1. INTRODUCTION

In the single-phase PLL applications the QSG can be performed by means of a wide range of different algorithms (Han et al., 2016), resulting in various types of single-phase PLL applications (Golestan et al., 2017a). In this paper, a modified transfer delay (TD) (3 Golestan et al., 2016) based QSG is analyzed and considered for application. Namely, the main advantage of TD-PLL resides in its simplicity of implementation, which is accompanied by typical problems related to the double nominal frequency component in the estimated frequency caused by the input signal frequency variation from the nominal value, and to the characteristic estimated phase angle offset (Golestan et al., 2017b).

However, the QSG can, also, be addressed by different other means. Apart from the TD-PLL, which represents one of the earliest forms of QSG, the derivative based (DER) (Guan et al., 2017) solution can, also, be used as one of the simpler approaches. Nevertheless, the DER based algorithms are rarely used, due to their increased sensitivity in relation to the measurement noise an to the grid voltage distortions.

In order to enable more robust PLL performance and a higher level of grid voltage disturbance rejection, adaptive filter (AF) based QSG solutions are proposed. One of the main AF based solutions is represented by the second-order generalized (SOGI) (Ciobotaru et al., 2006) based QSG, which enables a significant level of filtration and variable nominal frequency operation. In order to improve the grid voltage disturbances filtration, the multiple SOGI solution is proposed (Rodriguez et al., 2011), consisting of a series of SOGI blocks. In (Karimi-Ghartemani et al. 2012) an integrator is added to a conventional SOGI in order to enable the rejection of the DC offset present at the input signal. Finally, the equivalent dynamic performance and disturbance filtering to SOGI can be achieved by means of inverse-Park based (PARK) (Wang et al., 2012), which is analytically proven in (Golestan et al., 2013).

Furthermore, in order to overcome the dynamic limitations introduced in SOGI by the nonlinear adaptive filtering operation, the frequency-fixed PLL is proposed based on a SOGI with fixed nominal frequency (Xiao et al., 2017), showing that a significant improvement in the PLL dynamic performance can be achieved by these means.

Regarding the TD based QSG realizations, which represent the main subject of the paper, they can be classified into two main groups: (i) fixed and (ii) variable transport delay. In (Dai et al., 2018), the fixed TD based frequency estimation scheme is proposed, based on a linear regression grid voltage model. In this way, a robust estimator operation is achieved, immune in relation to the grid voltage disturbances. In (Dai et al., 2019), a linear regression grid voltage model is, also, employed, which includes both frequency and phase angle estimation. In this way, stable PLL and operation is achieved, which is immune in relation to the input signals DC offset. In (Golestan et al., 2017c), fixed TD based PLL technique is proposed based on a least-error squares method, used for a grid voltage frequency and phase angle estimation, and, also, for harmonic, positive and negative sequence components decomposition. In (Yang et al., 2016), in order to solve the problem related to the fixed TD and variable input signal frequency, a virtual-unit delay based PLL is proposed, based on the time delay approximation by means of a linear interpolation polynomial. Consequently, in (Golestan et al., 2019) a conventional three-phase based delayed signal

cancellation technique is adopted for a single-phase realization.

In (Batista et al., 2015), variable structure delayed signal cancellation based algorithm is proposed, with the varying structure employed in order to improve the PLL dynamic performance for different harmonic input signal contents. Finally, in (Golestan et al., 2018) comprehensive analysis of the variable-length TD based QSG techniques is presented, including the small-signal modeling and the resulting PLL parameter tuning procedure.

Regarding the single-phase PLL DC offset compensation, there are SOGI (Karimi-Ghartemani et al., 2012) and observer (Park et al., 2017) based solutions. Furthermore, in (Zheng et al., 2016) weighted least squares estimation (WLSE) based solution is proposed that enables PLL operation with improved dynamic performance with increased algorithm complexity. In (Golestan et al., 2015), a comprehensive survey of PLL algorithms with input DC offset compensation is presented.

In this paper, a modified and version of single-phase ATD-PLL (Golestan et al., 2017b) is presented. Namely, for the first time, the ATD based PLL is proposed that is immune in relation to the input signal DC offset, with the improved dynamic response when compared to similar existing solutions. Furthermore, for three-phase systems, the novel ATD based PLL is proposed for the positive and negative sequence components separation in the input signals. Consequently, the proposed solution is compared with a reference observer based proposed in (Karimi-Ghartemani et al. 2012) that is, also, immune in relation to the input signal DC bias. Also, the proposed solution can synchronize power converters to distorted grid voltage with no restrictions regarding the converter rated power and grid voltage and current ranges.

This paper consists of six sections. In Section 2, the ATD-PLL (Golestan et al., 2017b) is presented, together with the corresponding small-signal modeling procedure. In Section 3, the novel ATD based QSG algorithm is presented, while in Section 4 the corresponding simulation results are outlined. In Section 5, the results of experimental tests are presented and analyzed.

2. REFERENCE SOLUTIONS

In this section, three reference solutions are presented. In Subsection 2.1 reference TD and ATD based solutions are outlined, accompanied by the ATD small signal model derivation, since the novel algorithm represents a modification of the ATD based PLL. In Subsection 2.2, a reference single-phase PLL algorithm with the input DC offset compensation is outlined, in order to outline the improvements introduced by the new algorithm.

2.1. Reference TD-PLL and ATD-PLL

The conventional TD-PLL (Golestan et. al., 2017a), based on the fixed time-delay QSG, is presented in the following Fig.1.

In Fig. 1, the TD-PLL consists of the following blocks and signals: the fixed $T_r/4$ time delay (where T_r represents the

rated input signal period), block T $\alpha\beta$ to dq transformation, K_{ρ} and K_i the PLL proportional and integral gains, block I the PLL integral term, V_i PLL input signal, V_{α} and V_{β} input signal α and β components, V_q input signal q component, $\Delta\omega_e$ the PLL integrator output, ω_r rated input signal frequency, ω_e estimated frequency, and θ_e estimated phase angle value.



Fig. 1. The conventional TD-PLL.

The problem with the TD based QSG applied in a PLL resides in the fact that the input signal frequency ω_i differs from the presumed rated value ω_r , which results in the erroneous phase angle estimation and in the undesired double estimated frequency component in the V_q signal.

In order to solve this problem, the ATD-PLL (Golestan et al., 2017b) in the following Fig. 2 is proposed, in which the modified adaptive TD based QSQ is applied. Namely, it still operates with the fixed time delay $T_r/4$, but, also, adaptively calculates the resulting orthogonal component V_β in order to compensate the error which occurs when the estimated input signal frequency ω_l does not correspond to the rated frequency value ω_r used for the time delay unit T_r calculation.



Fig. 2. The ATD based PLL (Golestan et al., 2017b).

Namely, the signal $V_i(t) = V\cos(\omega_i t + \varphi_i) = V\cos(\theta_i)$ in Fig. 2 (where V represents the input signal amplitude, ω_i angular frequency, and φ_i phase angle values respectively) could be represented by means of orthogonal components $V_{\alpha}(t)$ and $V_{\beta}(t)$ by using the following equation (1).

$$\begin{bmatrix} V_i(t) \\ V_i(t-T_r/4) \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ \cos(\omega_i T_r/4) & \sin(\omega_i T_r/4) \end{bmatrix} \begin{bmatrix} V_{\alpha}(t) \\ V_{\beta}(t) \end{bmatrix}$$
(1)

Based on (1), the following QSG equation (2) can be derived,

$$V_{\beta}(t) = \frac{V_{i}(t - T_{r}/4) - V_{i}(t)\cos(\omega_{i} T_{r}/4)}{\sin(\omega_{i} T_{r}/4)}$$
(2)

However, after substituting ω_i with its estimated value ω_e , and ω_e with $\omega_e = \Delta \omega_e + \omega_r$ in (2) (where ω_r represents the rated value of the input signal frequency ω_i , where the employed time delay unit T_r is equal to $T_r = 2\pi/\omega_r$, and where ω_e represents the ω_i value estimated by a PLL algorithm) the following equation (3) is obtained.

$$V_{\beta}(t) = \frac{V_i(t - T_r/4) + V_i(t)\sin(\Delta\omega_e T_r/4)}{\cos(\Delta\omega_e T_r/4)}$$
(3)

Consequently, by applying (3) for the QSG in ATD-PLL inf Fig. 2 the problem related to the undesired double-frequency component in estimated frequency and phase angle (caused by the input frequency differing from the rated value) is solved.

However, this solution could further be improved since solution in Fig. 2 is not immune in relation to the DC offset present at the PLL input, which could be solved by accordingly modifying the ATD-PLL algorithm.

Furthermore, the positive and negative sequence component separation based three-phase PLL could be implemented based on the new modified ATD-PLL.

Consequently, the novel ATD-PLL is proposed in the following Section III, which includes the aforementioned improvements.

In the following part of this Subsection 2.1, the small-signal model derivation for the ADT based PLL (Golestan et al., 2017b) is presented, since the similar technique is applied in the case of the novel modified ADT-PLL proposed in this paper.

The ATD-PLL small-signal model is derived by means of the following equation (4), and by using (3) with $\Delta \omega_i = \omega_i - \omega_r$, $\Delta \theta_e = \theta_e - \omega_r t$, and $\Delta \theta_i = \theta_i - \omega_r t$.

$$V_{q}(t) = -\sin(\theta_{e})V_{a}(t) + \cos(\theta_{e})V_{\beta}(t)$$

$$= -V\sin(\theta_{e})\cos(\theta_{i}) + \cos(\theta_{e})\frac{V_{i}\left(t - \frac{T_{r}}{4}\right) + V_{i}(t)\sin\left(\frac{\Delta\omega_{e} T_{r}}{4}\right)}{\cos\left(\frac{\Delta\omega_{e} T}{4}\right)}$$

$$= \frac{V}{2}\left[\sin(\theta_{i} - \theta_{e}) + \frac{\sin\left(\theta_{i} - \theta_{e} - \frac{\Delta\omega_{i}T_{r}}{4}\right)}{\cos\left(\frac{\Delta\omega_{e} T_{r}}{4}\right)} + \tan\left(\frac{\Delta\omega_{e} T_{r}}{4}\right)\cos(\theta_{i} - \theta_{e})\right]$$

$$+ \frac{V}{2}\left[-\sin(\theta_{i} + \theta_{e}) + \frac{\sin\left(\theta_{i} + \theta_{e} - \frac{\Delta\omega_{i}T_{r}}{4}\right)}{\cos\left(\frac{\Delta\omega_{e} T_{r}}{4}\right)} + \tan\left(\frac{\Delta\omega_{e} T_{r}}{4}\right)\cos(\theta_{i} + \theta_{e})\right]$$

$$(4)$$

Since for $x \approx 0$, $\theta_i \approx \theta_e$, $\Delta \omega_i \approx 0$ and $\Delta \omega_e \approx 0$ the following terms are equal to $\sin(x) \approx x$, $\cos(x) \approx 1$, $\tan(x) \approx x$, and $D_2(t) \approx 0$, the small signal model (5) can be derived from (4).

$$V_{q}(t) \approx V \left[\frac{\Delta \theta_{i} + (\Delta \theta_{i} - \Delta \omega_{i} T_{r} / 4)}{2} - \Delta \theta_{e} + \frac{\Delta \omega_{e} T_{r}}{8} \right]$$
(5)

After taking the Laplace transform of (5), the following small-signal transfer function (6) is obtained for the ADT based QSG.

$$V_q(s) \approx V \left[\frac{1 + e^{-sTr/4}}{2} \Delta \theta_i(s) - \Delta \theta_e(s) + \frac{\Delta \omega_e(s)T_r}{8} \right]$$
(6)

Consequently, based on (6), in (Golestan et. al., 2017b) the closed-loop transfer function of ATD-PLL in Fig. 3 is

derived, accompanied by the corresponding PLL parameter tuning procedure.



Fig. 3. The equivalent transfer function of the ADT-PLL small-signal model.

In order to enable the comparative analysis of the input DC offset rejection performed by the modified ATD-PLL proposed in this paper, in the following Subsections 2.2 and 2.3 two reference single-phase PLL algorithms are presented that include the DC offset compensation.

2.2. Single-phase SOGI based PLL algorithm with DC offset compensation

In (Karimi-Ghartemani et al. 2012), the SOGI based singlephase PLL algorithm is proposed, with the DC offset compensation based on the additional integrator included in the QSG section, outlined in Fig. 4(a). In Fig. 4(b), the corresponding PLL algorithm is outlined.



Fig. 4. The SOGI based (a) QSG, and (b) corresponding PLL with DC offset compensation (Karimi-Ghartemani et al. 2012).

In (Karimi-Ghartemani et al. 2012), the QSG parameter values are set to the values $K_1 = 75 / \omega_r = 0.239$ and $K_2 = 300 / \omega_r = 0.955$, for $\omega_r = 2\pi 50$ rad/s. The corresponding PLL parameter values are determined in the following Subsection 2.3.

2.3. Frequency adaptive observer based single-phase PLL algorithm with DC offset compensation

In (Park et al., 2017), a second reference single-phase PLL algorithm with DC offset compensation is addressed, outlined in the following Fig. 5.





Fig. 5. The frequency adaptive observer-based (a) QSG, and (b) corresponding PLL with DC offset compensation (Park et al., 2017)

In Fig. 5(a), the frequency adaptive observer-based (FAO) QSG is outlined. It is shown in (Park et al., 2017) that FAO enables QSG with DC offset rejection that operates with improved dynamic responses. Namely, for the following set of FAO parameter values $K_1 = 1$, $K_2 = 2$ and $K_3 = 2$, the QSG with bandwidth BW = ω_e and dominant poles damping factor $\zeta = 1$ is obtained, which, according to (Park et al., 2017), represents an improvement when compared with previously existing solutions. In Fig. 5(b), the corresponding PLL algorithm is outlined based on the QSG in Fig. 5(a).

The parameter values K_p and K_i for PLL algorithms in figures 4(b) and 5(b) are calculated by means of the procedure outlined in (Park et al., 2017), which results in $K_p = 2\zeta \omega_0$ and $K_i = \omega_0^2$, where ζ and ω_0 represent PLL resulting dominant closed-loop poles damping factor and undamped angular

frequency values (with ω_0 being approximately equal to the PLL bandwidth frequency value).

In the following Section 3, the novel modified ATD based PLL is outlined, which enables the input DC offset compensation.

3. THE MODIFIED ATD-PLL WITH DC OFFSET COMPENSATION

The main contribution proposed in this paper consists of the modification which enables ATD algorithm outlined in Fig. 2 to operate with a DC offset in the input signal. Namely, for the DC offset present at the PLL input the V_i signal can be represented by $V_i(t) = V\cos(\omega_i t + \varphi_i) + C = V\cos(\theta_i) + C$, where C represents the DC offset signal. Consequently, similarly to (2), the $V_i(t)$ can be represented with the corresponding orthogonal components $V_{\alpha}(t)$ and $V_{\beta}(t)$ by means of the following equation (7).

$$\begin{bmatrix} V_{i}(t) \\ V_{i}(t-T_{r}/4) \\ V_{i}(t-T_{r}/2) \end{bmatrix} = \begin{bmatrix} 1 & 0 & 1 \\ \cos(\omega_{i}T_{r}/4) & \sin(\omega_{i}T_{r}/4) & 1 \\ \cos(\omega_{i}T_{r}/2) & \sin(\omega_{i}T_{r}/2) & 1 \end{bmatrix} \begin{bmatrix} V_{\alpha}(t) \\ V_{\beta}(t) \\ C \end{bmatrix}$$
(7)

Based on (7), the following QSG equations (8) and (9) can be derived by substituting ω_i with its estimate ω_e , and ω_e with ω_e $=\Delta\omega_e + \omega_r$ (where ω_r represents the rated value of the input signal frequency ω_i , where the employed time delay unit T_r is equal to $T_r = 2\pi/\omega_r$, and where ω_e represents the ω_i value estimated by a PLL algorithm).

$$V_{\alpha}(s) = \frac{V_{i}(t)\left[1 + 2\sin\left(\frac{\Delta\omega_{e}T_{r}}{4}\right)\right] - 2V_{i}(t - T_{r}/4)\sin\left(\frac{\Delta\omega_{e}T_{r}}{4}\right) - V_{i}(t - T_{r}/2)}{2\left[\sin\left(\frac{\Delta\omega_{e}T_{r}}{4}\right) + 1\right]}$$

$$V_{\beta}(s) = \frac{V_{i}(t)\left[1 - 2\sin\left(\frac{\Delta\omega_{e}T_{r}}{4}\right)\right] - 2V_{i}(t - T_{r}/4)\left[1 - \sin\left(\frac{\Delta\omega_{e}T_{r}}{4}\right)\right] + V_{i}(t - T_{r}/2)}{2\cos\left(\frac{\Delta\omega_{e}T_{r}}{4}\right)}$$
(8)

In the following subsection, the small-signal model of the proposed QSG and PLL technique is outlined.

(9)

3.1. The novel ATD-PLL small-signal model

The small-signal model of the ADT-PLL in Fig. 6 is derived from the small-signal approximation of the signal $V_q(t)$ = - $\sin(\theta_e)V_{\alpha}(t) + \cos(\theta_e)V_{\beta}(t)$, and $V_{\alpha}(t)$ and $V_{\beta}(t)$ outlined in (8) and (9). Consequently, the following equation (10) is obtained, for $\Delta \omega_i = \omega_i - \omega_r$, $\Delta \theta_e = \theta_e - \omega_r t$, and $\Delta \theta_i = \theta_i - \omega_r t$.

Since for $x \approx 0$, $\theta_i \approx \theta_e$, $\Delta \omega_i \approx 0$ and $\Delta \omega_e \approx 0$ the following terms are equal to $sin(x) \approx x$, $cos(x) \approx 1$, $tan(x) \approx x$, and $D(t) \approx$ 0, the resulting novel ATD small-signal model (11) can be derived from (10).



Fig. 6. The novel ATD-PLL with DC offset compensation.

$$V_{q}(t) = -\sin(\theta_{e})V_{\alpha}(t) + \cos(\theta_{e})V_{\beta}(t)$$

$$= \frac{V}{2} \frac{\left\{ \sin(\theta_{i} - \theta_{e}) \left[1 + 2\sin\left(\frac{\Delta\omega_{e}T_{r}}{4}\right) \right] + 2\cos\left(\theta_{i} - \theta_{e} - \frac{\Delta\omega_{i}T_{r}}{4}\right) \sin\left(\frac{\Delta\omega_{e}T_{r}}{4}\right) + \sin\left(\theta_{i} - \theta_{e} - \frac{\Delta\omega_{i}T_{r}}{2}\right) \right\}}{2\left[\sin\left(\frac{\Delta\omega_{e}T_{r}}{4}\right) + 1 \right]}$$

$$- \frac{V}{2} \frac{\left\{ \cos(\theta_{i} - \theta_{e}) \left[1 - 2\sin\left(\frac{\Delta\omega_{e}T_{r}}{4}\right) \right] - 2\sin\left(\theta_{i} - \theta_{e} - \frac{\Delta\omega_{i}T_{r}}{4}\right) \left[1 - \sin\left(\frac{\Delta\omega_{e}T_{r}}{4}\right) \right] - \cos\left(\theta_{i} - \theta_{e} - \frac{\Delta\omega_{i}T_{r}}{2}\right) \right\}}{2\cos\left(\frac{\Delta\omega_{e}T_{r}}{4}\right)}$$

$$+D(t)$$

$$V_{q}(t) \approx V \left[\frac{\Delta \theta_{i} + (\Delta \theta_{i} - \Delta \omega_{i} T_{r} / 2)}{2} - \Delta \theta_{e} + \frac{\Delta \omega_{e} T_{r}}{4} \right] \qquad \qquad 2\xi \omega_{0} = V \left(K_{p} - T_{r} K_{i} / 4 \right)$$
(11)

$$\omega_0^2 = V K_i \tag{15}$$

After taking the Laplace transform of (11), the following small-signal model transfer function (12) is obtained for the novel ATD based QSG, outlined in Fig. 5.

$$V_{q}(s) \approx V \left[\frac{1 + e^{-sTr/2}}{2} \Delta \theta_{i}(s) - \Delta \theta_{e}(s) + \frac{\Delta \omega_{e}(s)T_{r}}{4} \right]$$
(12)

Based on (12), the small-signal model of a novel ATD based PLL from Fig. 6 is outlined in the following Fig. 7.

$$\begin{array}{c} \Delta \theta_i \\ & & \\ &$$

Fig. 7. The novel ATD-PLL small signal model.

In the following Subsection 3.2, the novel ATD-PLL parameter tuning procedure is outlined.

3.2. The novel ATD-PLL parameter tuning procedure

The novel ATD-PLL parameter tuning procedure is derived from the small-signal model outlined in Fig. 6. Namely, based on the model, the following closed-loop transfer function (13) is obtained.

$$G_{pll}(s) = \frac{\Delta \theta_e(s)}{\Delta \theta_i(s)} = \frac{1 + e^{-sTr/2}}{2} \frac{V(K_p s + K_i)}{s^2 + V(K_p - T_r K_i / 4)s + VK_i}$$
(13)

The ATD-PLL dynamic performance characteristics are set by means of parameter values K_p and K_i , by tuning the zero placement of the characteristic polynomial $D_{pll}(s) = s^2 + V(K_p - T_r K_i/4)s + VK_i$ of the transfer function (13).

Namely, since the $D_{pll}(s)$ represents a second order polynomial, the resulting PLL dynamic characteristics are determined by the required $D_{pll}(s)$ zeros damping ratio ζ and undamped angular frequency ω_0 values (where ω_0 corresponds to the PLL closed-loop bandwidth value), which are outlined in the following equations (14)-(15).

$$K_i = \frac{\omega_0^2}{V} \tag{16}$$

$$K_p = \frac{2\xi\omega_0}{V} + \frac{T_r\omega_0^2}{4V}$$
⁽¹⁷⁾

Based on (16)-(17) it can be concluded that the dynamics of the novel ATD-PLL with DC offset compensation can be tuned freely with respect to the input signal rated frequency value ω_r , which is not the case in the most existing solutions, including the FAO and SOGI based reference solutions outlined in figures 4 and 5.

In the following Section 4, the results of simulation tests are presented for the novel and for the reference PLL solutions.

4. SIMULATION TESTS

Since the main contribution proposed in this paper consists of the single-phase ATD-PLL modification that enables the input signal DC offset compensation, in this section the novel solution is compared with the reference FAO and SOGI based PLL solutions outlined in figures 4 and 5. Two different PLL applications are investigated – the basic singlephase PLL with DC offset in the input signal, and the threephase PLL application with the positive and negative sequence separation for the nonsymmetrical PLL input signals.

4.1. Simulation tests of single-phase PLL applications with the DC offset compensation

For the simulation of SOGI based PLL in Fig. 4(b) the following set of parameters are used (Karimi-Ghartemani et. al. 2012): $K_1 = 0.239$ and $K_2 = 0.955$. For the simulation of FOA in Fig. 5(b) the following set of parameters are used (Park et. al., 2017): $K_1 = 1$, $K_2 = 2$ and $K_3 = 2$. The PLL PI loop filter parameters are determined by using $K_p = 2\zeta\omega_0$ and $K_i = \omega_0^2$, for $\zeta = 1$ and for ω_0 equal to the required PLL bandwidth value.

(10)

(14)

For the novel ATD-PLL in Fig. 6 the PI loop filter parameters are determined by means of (14)-(15).

In the first set of simulation test three examined PLL structures are simulated for the following two different PLL bandwidth frequency values ω_0 - 150 rad/s and 300 rad/, for input frequency step variations equal to 31 rad/s.



Fig. 8. Simulated estimated frequencies of three single-phase PLLs, for 31 rad/s input frequency step variations, rated frequency $\omega r = 314$ rad/s, for PLL bandwidth frequency (a) $\omega 0 = 150$ rad/s and (b) $\omega 0 = 300$ rad/s.

By analyzing traces in Fig. 8(a) it can be concluded that for lower bandwidth frequency BW = 150 rad/s for all three examined PLLs similar settling times $t_{set} \approx 50$ ms are achieved, while the new ATD-PLL operates without any overshoot, contrary to two other solutions. However, the clear advantage of the new ATP-PLL can be observed in the traces in Fig. 8(b) for BW = 300 rad/s, where the new ATD based solution operates with no overshoot $o_1 = 0\%$, and with the fastest settling time $t_{set1} = 20$ ms, while FAO operates with $t_{set2} = 43$ ms and $o_2 = 30\%$, and SOGI with $t_{set3} = 78$ ms and $o_3 = 45\%$.





Fig. 9. Simulated estimated frequencies for three examined single-phase PLLs, for input step offset equal to 1V, rated frequency $\omega r = 314$ rad/s, and for (a) PLL BW = 150 rad/s, and (b) BW = 300 rad/s.

By analyzing traces in Fig. 9 it can be concluded that the same set of corresponding settling times are achieved as in the traces in Fig. 8, which furthermore illustrates the improvement introduced by the new ATD based PLL.

4.2. Simulation tests of three-phase PLL applications with the DC offset compensation and with the positive sequence component separation

The three-phase PLL with DC offset compensation and positive sequence separation is examined in this paper due to its wide scope of applications in the power converters design.



Fig. 10. Conventional three-phase PLL with positive sequence separation

In Fig. 10, a conventional three-phase application for the positive sequence application is outlined, where the QSG section can be implemented by means of the novel ATD in equations (8) and (9), SOGI in Fig. 4(a), and FAO in Fig. 5(a).





Fig. 11. Simulated estimated frequencies for three examined three-phase PLLs with positive sequence separation, for input step offset equal to 1V, rated frequency $\omega r = 314$ rad/s, and for (a) PLL BW = 150 rad/s, and (b) BW = 300 rad/s.

By analyzing simulation traces in Fig. 11 the following conclusions are made: (a) for BW = 150 rad/s in Fig. 11(a) settling times are $t_{set1} = 20$ ms for the new ATD, $t_{set2} = 44$ ms for FAO, and $t_{set3} = 68$ ms for SOGI; (b) for BW = 300 rad/s in Fig. 11(b) settling times are $t_{set1} = 20$ ms for the new ATD, $t_{set2} = 53$ ms for FAO, and $t_{set3} = 90$ ms for SOGI. Furthermore, in both cases in figures 11(a) and 11(b) the smallest overshot is achieved for the new ATD based PLL.

Consequently, simulation results in Fig. 11 illustrates a clear advantage of the new ATD based PLL with the DC offset compensation and positive sequence separation when compared with the similar solutions based on FAO and SOGI.

3. EXPERIMENTAL TESTS

In Fig. 12, the outline of the experimental setup is presented, which is employed in this paper. The signal generator is used to generate a single-phase power grid signal. For the experiments that include positive sequence component separation, a nonsymmetrical voltage system is fed into the PLL by introducing a zero voltage at the corresponding V_{β} input.



Fig. 12. Experimental setup, (a) programmable signal generator, (b) TMS320F28335 microcontroller based PLL platform, (c) PC used for the experimental data acquisition.

By analyzing the experimental responses for input frequency step variations in Fig. 13 it can be concluded that novel ATD-PLL has a clear advantage when compared with SOGI and FAO based solutions that enable single-phase PLL operation with input contaminated by DC offset. Namely, based on Fig. 13(a) it can be concluded that novel ATD operates with smaller overshoots when compared with FAO and SOGI based PLL, while traces in Fig. 13(b) prove that ATD-PLL operates with both smaller overshoot and settling time when compared with FAO and SOGI PLLs designed for the same PLL bandwidth frequency values $\omega 0$. The actual overshot and settling times correspond to the simulation results in Fig. 8, which represents the simulated responses for the same test cases.



Fig. 13. Experimental estimated frequencies of three singlephase PLLs, for 31 rad/s input frequency step variations, rated frequency $\omega r = 314$ rad/s, for PLL bandwidth frequency (a) $\omega 0 = 150$ rad/s and (b) $\omega 0 = 300$ rad/s.

By analyzing traces in Fig. 14 similar conclusions can be made as in Fig. 13, where in both cases in Fig. 14(a) and 14(b) the novel ATD-PLL outperforms SOGI and FOD based PLLs regarding the achieved PLL response settling times. The actual settling time values correspond to the simulation test results outlined in Fig. 9, where the simulated results of the same test case are presented.





Fig. 14. Experimental estimated frequencies for three singlephase examined PLLs, for input step offset equal to 0.25V, rated frequency $\omega r = 314$ rad/s, and for (a) PLL BW = 150 rad/s, and (b) BW = 300 rad/s

Consequently, in figures 13 and 14 experimental results of the estimated frequency values for single-phase PLL implementations are presented, performed for three different QSG algorithms (SOGI, FAO, and new ATD) designed for two different bandwidth frequency values – 150 rad/s and 300 rad/s. Similar estimated frequency response overshoot and settling times are obtained as in the corresponding simulation tests (Fig. 8 corresponds to Fig. 13, and Fig. 9 corresponds to Fig. 14).



Fig. 15. Experimental estimated frequencies for three examined three-phase PLLs with positive sequence separation, for input frequency 31 rad/s step variation, rated frequency $\omega r = 314$ rad/s, and for (a) PLL BW = 150 rad/s, and (b) BW = 300 rad/s.

In Fig. 15, the three-phase PLL with positive sequence separation estimated frequency responses are presented for input frequency step variations, for PLLs designed for two different bandwidth values. Based on presented results it can be concluded that in both (a) and (b) cases the novel ATD-

PLL outperforms SOGI and FAO PLLs regarding achieved settling times. These differences can clearly be observed in Fig. 15(b) where ATD operates with the fastest settling time $t_{set1} = 25$ ms, while FAO operates with $t_{set2} = 45$ ms and $o_2 = 22\%$, and SOGI with $t_{set3} = 75$ ms and $o_3 = 42\%$.



Fig. 16. Experimental estimated frequencies for three examined three-phase PLLs with positive sequence separation, for input DC offset 0.25 V setpoint variation, rated frequency $\omega r = 314$ rad/s, and for (a) PLL BW = 150 rad/s, and (b) BW = 300 rad/s.

In Fig. 16, similar results are achieved as in the simulated test case in Fig. 11, which prove the clear advantage of the novel ATD-PLL when compared to SOGI and FOD based solutions.

Consequently, in figures 15 and 16 experimental test results are outlined for the three-phase PLLs with positive sequence separation, implemented with three different QSG algorithms (SOGI, FOA, and new ATD) designed for two bandwidth frequencies – 150 rad/s and 300 rad/s. Experiments include input signal step frequency variations in Fig. 15, and input signal DC offset variations in Fig. 16. Consequently, based on the results of experimental test, similarly as in the case of the simulation tests, it can be concluded that the new ATD based PLL with DC offset compensation enables the phase and frequency synchronization responses with significantly lower overshoot and settling time values.

Regarding the similarities between the simulation and experimental results, they could be expected since in both cases floating-point arithmetic-logic unit is used to calculate results, either in the PC, or in TMS320F28335 microcontroller case (which is used to implement the examined PLLs in the real time). Also, as in the simulation case, the programmable signal generator is used in the experimental tests, which, also, may contribute to the similarities between the simulation and experimental results.

6. CONCLUSIONS

In this paper, a new ATD based PLL application is presented, which enables input signal DC offset compensation. This represents an improvement of existing ATD-PLL solutions, since they do not include DC offset compensation and nonsymmetrical components separation. The new PLL is based on the modified ATD based QSG algorithm, which is applied in the paper by two different means - in a singlephase, and in the three-phase PLL application with the positive sequence separation. Proposed novel QSG algorithm is accompanied by a corresponding small-signal model, and by the PLL parameter tuning procedure based on the derived PLL model. Paper, also, includes, the simulation and experimental tests used to compare the novel ATD algorithm with two reference solutions existing in the literature - SOGI, and FAO. Obtained results show that the novel ATD based QSG enables PLL operation with significantly reduced overshoot and settling times for the PLL applications designed for the same bandwidth frequency values.

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